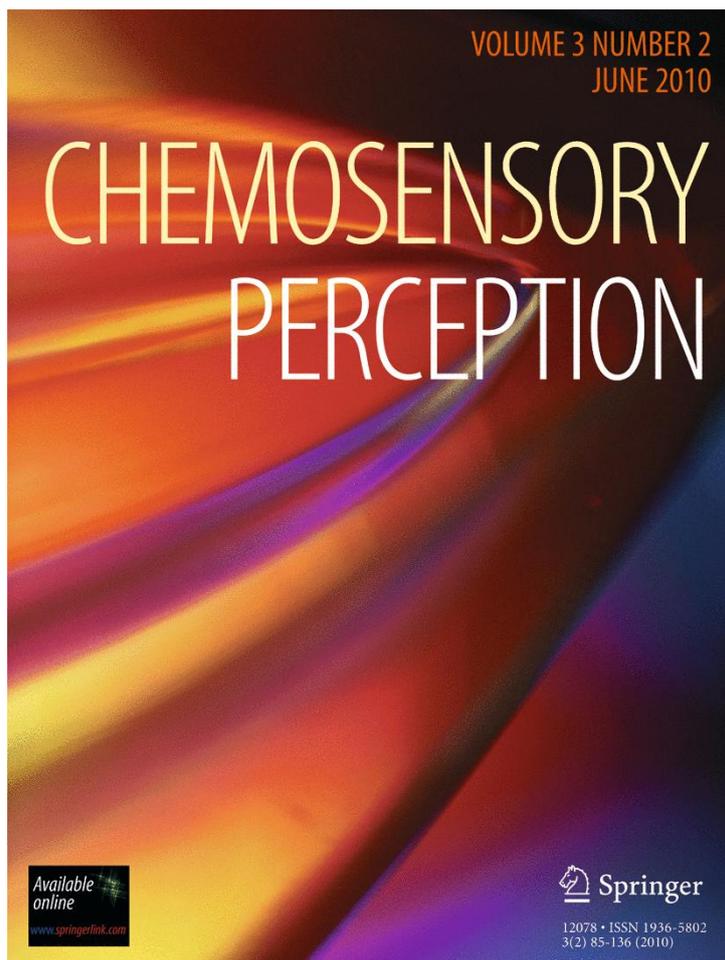


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# Can I Smell Gas (or Is It Lilac)? Olfactory Semantic Deficits in Mild Cognitive Impairment and Alzheimer's Disease

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**Abstract** Olfactory decline represents one of the earliest signs of Alzheimer's disease (AD), and deficits in olfactory identification have now been identified in persons with amnesic mild cognitive impairment (aMCI). Whether the olfactory identification deficit in AD reflects underlying degraded semantic knowledge or lower-order olfactory deficits is uncertain. To address this question, we focused on the kinds of errors committed when participants were given a unirhinal olfactory identification task at baseline and after 1 year. The aim was to assess whether more errors were committed when the target smell is either semantically related or unrelated to the distracters. Fourteen AD, 13 aMCI, and 10 control participants were tested using a modified version of the University of Pennsylvania Smell Identification Test. Examination of error types showed that the control group predominantly selected distracters which were related to the target; in contrast, distracters that were unrelated to the target odor were selected as frequently as related odors by the AD and aMCI groups, for both nostrils.

This pattern was maintained 1 year later, and previously designated aMCI patients who then met criteria for AD were more inclined to choose the unrelated distracters than were aMCI patients who did not meet AD criteria. Olfactory identification deficits in AD and aMCI plausibly reflect deterioration in ability to access olfactory-mediated semantic knowledge. This pattern of errors may help distinguish the olfactory identification deficits observed in AD from olfactory identification deficits observed in other conditions not associated with semantic loss.

**Keywords** Error Analysis · Semantic Memory · Olfaction · Mild Cognitive Impairment

## Abbreviations

AD	Alzheimer's disease
aMCI	Amnesic mild cognitive impairment
HC	Healthy control
SIT	Smell Identification Test

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## Introduction

Reports of olfactory identification deficits in Alzheimer's disease (AD) first appeared in the literature over two decades ago (Koss et al. 1988; Serby et al. 1985; Warner et al. 1986) and now represent an established part of the clinical picture in this disorder (Bacon et al. 1998; Luzzi et al. 2007; Murphy et al. 1998; Nordin and Murphy 1996; Serby et al. 1991). Individuals with AD are significantly less capable than matched controls of choosing which of several verbal labels most likely represents an odor (Warner et al. 1986). This finding cannot be ascribed to the lexical nature of the task, as similar findings have been found

when the alternatives were presented pictorially (Morgan et al. 1995).

Recent studies have shown that olfactory deficits are present and can be detected well before patients meet clinical criteria for AD. Patients diagnosed with mild cognitive impairment (MCI) are now a natural target for scientific investigation of preclinical AD, with 12% of cases of so-called amnesic MCI (aMCI) representing the prodromal stage of AD (Petersen et al. 2001; Yaffe et al. 2006). Several studies focusing on this heterogeneous group of patients have uncovered deficits in olfactory abilities, particularly in olfactory identification (Djordjevic et al. 2008; Eibenstein et al. 2005; Wang et al. 2002). Moreover, impaired olfactory identification in aMCI can predict conversion to AD (Albers et al. 2006; Devanand et al. 2000; Nordin and Murphy 1996; Peters et al. 2003) and cognitive decline among healthy community-dwelling volunteers (Wilson et al. 2007), particularly if the olfactory deficit is detected among individuals with at least one ApoE- $\epsilon$ 4 allele (Graves et al. 1999).

The process of olfactory identification represents a complex task involving the need to access semantic memory representations (Stevenson and Boakes 2003). Identification represents a late stage in the chain of olfactory information processing and assumes the intact functioning of lower-order sensory and perceptual abilities, such as detection and discrimination. Because impaired semantic processing is prominent in AD (Adlam et al. 2006), some authors maintain the observed olfactory identification abilities might, at least in part, reflect such semantic deterioration (Nagy and Loveland 2001). This association, however, is seldom explored directly, and the issue is not resolved.

Findings from two recent studies that addressed this question more directly have provided conflicting data. Luzzi et al. (2007) compared the performance of patients with four neurodegenerative disorders—AD, semantic dementia (SD), frontotemporal dementia, and corticobasal degeneration—on various tests of olfactory ability: odor discrimination, matching, and spontaneous naming. Although the AD and SD groups did not differ significantly on olfactory naming, the AD group performed significantly worse than the SD group on the olfactory discrimination task. Luzzi et al. concluded that the observed naming deficit in AD is secondary to a deficit at the perceptual level—that is, in olfactory discrimination.

Djordjevic et al. (2008) compared aMCI, AD, and healthy elderly controls on olfactory detection, discrimination, and identification. They found that impaired olfactory identification performance of AD patients could not be ascribed to deficits in olfactory threshold or discrimination. An olfactory discrimination deficit observed in the aMCI group, however, was eliminated once deficits in olfactory

threshold were controlled. On this basis, Djordjevic et al. concluded that the deficit in olfactory identification observed in AD cannot fully be ascribed to deficits in lower-level olfactory functions; this finding aligns with the findings of observations of other authors (Morgan et al. 1995).

#### Olfactory Semantic Error Analysis

The aim of the current study was to assess the extent to which impaired semantic processing underpins the olfactory identification deficits observed in patients with early AD and aMCI. One approach to evaluating the integrity of semantic networks is through an observation of the types of errors that participants commit on forced-choice object identification or naming tasks (Barbarotto et al. 1998; Hodges et al. 1992; Moreaud et al. 2001). Analysis of error types has been suggested by other authors to differentiate diagnoses when global scores do not distinguish categories adequately (Finton et al. 1998; Ska et al. 1990). The type of errors AD patients commit on semantic tasks has been studied using different kinds of task and in different modalities, predominantly in the visual and verbal domains (Finton et al. 1998; Hodges et al. 1995; Hodges et al. 1992; Rogers et al. 2004a; Ska et al. 1990). To date, however, no research has explored the pattern of errors AD or aMCI patients commit on olfactory identification tasks.

Multiple choice tasks are commonly used to study the pattern of errors that participants commit (Barbarotto et al. 1998; Moreaud et al. 2001; Rogers et al. 2004a; Warrington and Crutch 2007). In the case of olfactory identification, multiple choice tasks are usually the preferred approach because of the observation that spontaneous naming of odors is poor even among individuals with intact olfactory functioning (Doty 1995). Instead, participants are typically presented with a target odor and they need to identify which option from a series of verbal labels represents the target.

Early models of semantic memory (Collins and Loftus 1975), as well as more recent accounts (Rogers et al. 2004b), assert that when presented with a target object, participants may erroneously recognize it as a different object if the two objects share many properties. The odor of a lilac might coactivate representations of a rose: lilacs share many properties with roses, with similarity in phenomenological odor character as well as coordinate membership of a semantic category (i.e., flowers). Thus, individuals with intact semantic networks may erroneously select alike options, such as rose, that share properties with a target such as lilac. They are less likely to select distracters that share fewer properties with the target item, such as cherries, which might smell broadly similar to lilacs but correspond to a distinctly different semantic category. In contrast, when semantic knowledge is degraded—such

as in AD—objects and concepts are thought to gradually share an increasing number of features, making the distinction between normally dissimilar items more challenging (Alathari et al. 2004; Garrard et al. 2005; Patterson 2007). Therefore, the presentation of an odor such as strawberry might result in the activation of semantic categories that are normally unrelated to the target, such as natural gas. Hence, the type of errors that aMCI and AD patients commit on olfactory identification tasks might reflect semantic degradation.

Manipulating the degree of similarity between target and distracter items in multiple choice olfactory identification paradigms has been shown to affect the performance of healthy volunteers (Engen 1987). The most commonly used olfactory identification test is the Smell Identification Test (SIT; Doty et al. 1984). The distracters employed in this test “were selected for each odorant so as to maximize their distinctiveness from one another as well as from the target stimulus” (Doty et al. 1984, p. 495). As such, the SIT and later derivations do not permit researchers to explore the type of identification errors participants commit.

In the present study, a manipulation was applied to a subset of SIT items that enabled an investigation of whether increasing semantic similarities between targets and distracters affects identification performance. We were also interested in whether any patterns in the baseline olfactory identification performance of aMCI patients, and in particular the pattern of errors, were related to the likelihood of conversion to AD status 1 year later.

## Method

### Participants

Fourteen patients diagnosed with AD, 13 patients diagnosed with aMCI and 11 healthy elderly controls (denoted HC) were recruited for the current study. The AD, aMCI, and HC participants had been recruited and assessed as part of their participation in a large-scale longitudinal project on imaging brain beta-amyloid ( $A\beta$ ) using PiB-PET at Austin Health, Melbourne, Australia. Recruitment procedures, as well as inclusion and exclusion criteria for the PiB study, have been published elsewhere (Rowe et al. 2007). Briefly, all AD patients met the National Institute of Neurological Disorders and Stroke–Alzheimer’s Disease and Related Disorders Association (NINCDS–ADRDA) criteria for “probable AD” (McKhann et al. 1984). The classification of aMCI was based on (1) a clinical opinion that they were neither demented nor unimpaired, (2) subjective report of decline over time with objective evidence of impairment and (3) no significant functional loss (Winblad et al. 2004). Cognitive impairment was defined as at least one neuro-

psychological memory test score falling 1.5 SD or more below the relevant normative data, in the context of normal non-memory test scores. Healthy control participants from the large-scale longitudinal Melbourne Healthy Aging Study (Collie et al. 2001; Weaver Cargin et al. 2006) were invited to participate. Screening of data for outliers revealed that one of the control participants was a multivariate outlier, as indicated by an elevated Mahalanobis distance, and this participant was excluded from analyses. Approximately 12 months following the initial assessment, 28 participants (8 HC, 13 aMCI, 7 AD) completed a follow-up assessment.

Exclusion criteria for the olfactory testing procedure included a recent history of viral infections and allergies, acute medical complications, or chronic medical conditions affecting olfactory function, or a history of head injury.

All participants provided their consent for the olfactory testing independently of their consent to participate in the PiB study or the healthy aging study. Where capacity to consent was uncertain, consent was also obtained from the primary carer, usually a spouse. This project was conducted under the approval of the ethics committees at Monash University and Austin Health, Melbourne, in accordance with the Declaration of Helsinki.

## Materials

### Development of the Semantic Smell Task

The SIT (Doty et al. 1984) is a 40-item, suprathreshold, scratch-and-sniff test. Respondents are instructed to smell an odor and are required to select the option that most likely represents the odor from a set of four written alternatives. Since its development over two decades ago, the SIT has become the test of choice for numerous research protocols, partly because the task is easy to administer, is ecologically valid, and is well tolerated by clinical populations. The test has been translated and normed for use in several languages, and several shorter versions have been developed for briefer procedures (Doty et al. 1996; Duff et al. 2002; Kjelvik et al. 2007).

A subset of 10 items selected from the SIT was used to construct an olfactory assessment procedure that differentiated two types of errors. The 10 items that were selected were: *menthol*, *clove*, *leather*, *strawberry*, *lilac*, *pineapple*, *smoke*, *lemon*, *soap*, and *natural gas*. These odors have been found by Tabert et al. (2005) to be most predictive of conversion from healthy ageing status to aMCI status to AD status when compared with the overall SIT.<sup>1</sup>

<sup>1</sup> The item *pizza* was substituted for Tabert et al.’s *lemon* due to widespread detection difficulties with the latter item in pilot testing.

To select distracters, a short pilot study was conducted with 12 healthy volunteers (six males, six females; mean age=38.7) who received a list of all 40 items in the SIT and were asked to categorize the names of smells in two different ways. First, raters were asked to assemble together items based on their character—that is, items they thought smelled alike. Second, they were asked to assemble together items based on their semantic membership—such as flowers, food, and so on. These data were then used to derive a set of items that were most often ranked as related to the 10 target items either in character or because they belonged to the same category. The item sets were not orthogonal with respect to semantic and characterological overlap; rather, we attempted to create a hierarchical design. At the highest level of similarity, distracters were highly confusable with the target in terms of semantic membership (e.g., the target *lilac* and the foil *rose* are both flowers) as well as in the way they smell (e.g., they are typically “floral”). At the next level of similarity, the distracter shared some physical similarity with the target item but did not belong to the same semantic category (e.g., the foil might be a fruit such as *cherry*). At the lowest level of similarity, foils did not share semantics or physical similarity with the target item (e.g., *natural gas*).

#### Neuropsychological Assessment

All participants underwent a neuropsychological evaluation that included the mini-mental state examination (MMSE; Folstein et al. 1975), 30-item Boston Naming Test (BNT; Saxton et al. 2000), Digit Span (DS), and Digit Symbol-Coding (DS-C) subtests from the Wechsler Adult Intelligence Scale—Third edition (Wechsler 1997), California Verbal Learning Test—Second edition (CVLT-II; Delis et al. 2000), Rey Complex Figure Test (RCFT; Meyers and

Meyers 1995) and subtests of The Delis–Kaplan Executive Function System verbal fluency (Delis et al. 2000).

A composite episodic memory score was calculated by computing the average of the *z* scores (generated using the HC group as the reference) for RCFT and CVLT-II long-delay trials. A composite semantic memory score was calculated by computing the average of the *z* scores for the category fluency (animals and boys' names total) and the BNT. A composite non-memory cognition score was derived by computing the average of the *z* scores for letter fluency, DS, DS-C, and RCFT copy.

Demographic characteristics of the three participant groups, along with their mean composite scores in the three cognitive domains—episodic memory, semantic memory, and non-memory, are presented in Table 1. Mean age, educational attainment, and gender ratio did not vary significantly across the groups. As expected, the AD group exhibited lower scores on the MMSE compared with the aMCI group, which in turn demonstrated lower scores than the HC group. Performances of the aMCI group were worse than the HC group and no different from the AD group on both the composite episodic memory score and the composite semantic memory score. The aMCI group's performances were not different from the HC group's and significantly better than the AD group's on the composite non-memory score.

#### Procedures

Unirhinal olfactory testing has been the preferred methodology in several recent protocols with other clinical populations (Good et al. 2002; Szeszko et al. 2004). In early AD, subtle asymmetries in the neuropathological burden may manifest in functional asymmetries on olfactory tasks and may have implications for differential

**Table 1** Selected participant characteristics and composite scores

	HC ( <i>n</i> =10)	aMCI ( <i>n</i> =13)	AD ( <i>n</i> =14)
Age	73(5.3) a	74.5 (7.1) a	74.14 (10.2) a
Education	13 (2.3) a	14.8 (5.9) a	13.8 (4.5) a
% Male	30 a	53.8 a	50 a
MMSE	29.5 (0.8) a	25.6 (2.4) b	23.3 (3.3) c
Composite episodic memory <sup>a</sup>	−0.07 (0.94) a	−3.65 (1.50) b	−4.38 (1.08) b
Composite semantic memory <sup>b</sup>	−0.08 (0.95) a	−2.85 (2.81) b	−3.35 (2.53) b
Non-memory composite <sup>c</sup>	−0.02 (0.76) a	−1.29 (1.22) a	−2.96 (1.98) b

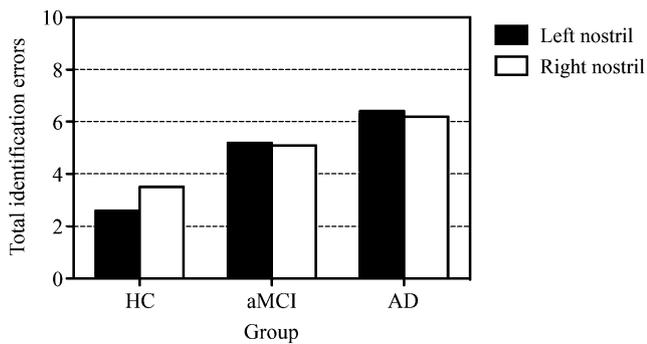
Data are presented as means (standard deviations) unless otherwise indicated. Figures in the same row that do not share the same letter are different at  $p < 0.01$ .

AD Alzheimer's disease, aMCI amnesic mild cognitive impairment, HC healthy controls, MMSE mini-mental state examination

<sup>a</sup> Calculated as the average of the *z* score for CVLT-II Long Delay Free Recall and RCFT 30-min delayed recall

<sup>b</sup> Calculated as the average of the *z* scores for BNT and category fluency

<sup>c</sup> Calculated as the average of the *z* scores for RCFT copy, DS, DS-C, and letter fluency



**Fig. 1** Mean overall error rate in identification on the left and right nostril in each group

diagnosis. We have reported elsewhere the contribution of unirhinal olfactory testing to the differentiation of people with aMCI from AD patients (Bahar-Fuchs et al. 2007). Accordingly, the 10 items were presented to each patient unirhinally in a randomized order. The first nostril of presentation was counterbalanced between participants. Foam plugs were used to occlude one nostril, and participants were asked to block their open nostril briefly to confirm that air was not entering through the occluded nostril. The 10 test items were administered one at a time, and for each item the participant was asked to read the four alternatives aloud (e.g., *clove*, *cinnamon*, *gingerbread*, and *petrol*).

Immediately after the patch containing the smell was scratched with a pencil to release the odorant, participants were instructed to position the card close to their open nostril and inhale before the odor faded. They were then asked to decide on the label that most likely matched the odor. For each participant, the same procedure was repeated 1 week later with the second nostril.

#### Data Analysis

Mixed-design ANOVA was used to investigate the effects of group and nostril, and their interaction, on overall error rate in olfactory performance. Three types of errors were differentiated: errors similar in smell and semantic category to the target, errors similar in smell but not category and

errors unrelated to the target. After the specific error types were converted to proportions, mixed-design ANOVAs with error type and nostril as within-subjects variables and group as the between-subjects factor were conducted to assess whether types of errors differentiated the groups. Pearson correlations were calculated to measure the association between olfactory measures and other neuropsychological test scores. To minimize the risk of inflated type I error when performing multiple analysis of the same dependant variable, planned contrasts were performed were applicable, and statistical significance was only reported when  $p < 0.01$ .

#### Results

##### Olfactory Identification Error Scores for the Left and Right Nostrils

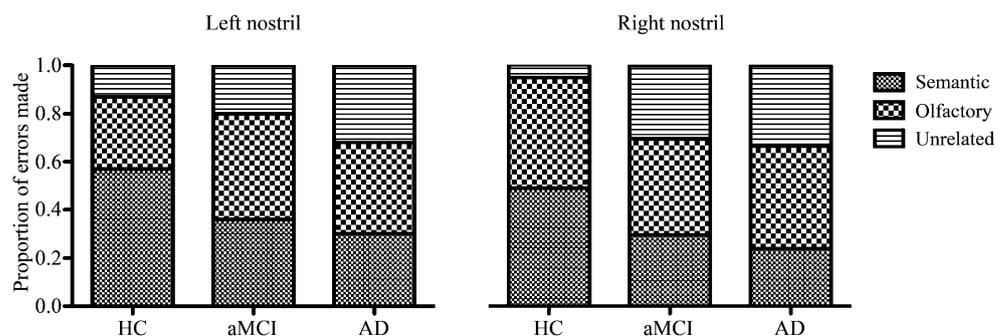
The mean number of errors on olfactory identification as a function of nostril and group appear in Fig. 1. Identification errors varied across groups,  $F(2,34)=16.6$ ,  $p < 0.001$ ,  $\eta^2 = 0.49$ . Planned group contrasts revealed that the control group ( $M=2.9$ ,  $SD=1.5$ ) committed fewer errors than the aMCI group ( $M=5.1$ ,  $SD=1.6$ ,  $p < 0.01$ ,  $\eta^2 = 0.40$ ), who in turn committed fewer errors than the AD group ( $M=6.3$ ,  $SD=1.8$ ,  $p = 0.04$ ,  $\eta^2 = 0.14$ ). Nostril side did not affect identification nor interact with the differences across groups, both  $F_s < 1$ .

##### Examination of Types of Error

The relative incidences of the different error types, expressed as a proportion of the total number of errors, appear in Fig. 2 as a function of nostril side and participant group. A mixed-design ANOVA was conducted, with nostril side and error type as the within-subjects variables and diagnostic group as the between-groups factor. Across nostrils and groups, a difference was found in the likelihood of committing different error types,  $F(2,68)=6.47$ ,  $p < 0.001$ ,  $\eta^2 = 0.16$ .

In addition, the interaction between error type and group also reached significance,  $F(4,68)=3.60$ ,  $\eta^2 = 0.17$ . Analyses

**Fig. 2** The distribution of error types among the three participant groups for left and right nostrils



**Table 2** Pearson correlations between total errors in olfactory identification on the left and right nostrils and neuropsychological measures

	Total errors: left nostril	Total errors: right nostril
MMSE	-0.34*	-0.49**
Composite episodic memory score	-0.61** (-0.52**)	-0.48** (-0.23)
Composite semantic memory score	-0.27 (-0.01)	-0.34* (-0.03)
Composite non-memory score	-0.28 (-0.09)	-0.38* (-0.13)

Data in parentheses represent the relevant Pearson correlation after controlling for MMSE score

\* $p < 0.05$ , \*\* $p < 0.01$

at the group level revealed that the HC group committed few unrelated errors relative to the other types,  $F(1,9) = 23.9$ ,  $p < 0.01$ ,  $\eta^2 = 72$ , and no difference was observed between the two related types of errors,  $F(1,9) = 1.2$ , ns, or an interaction of type of error with nostril,  $F < 1$ . In contrast, there was no difference between the likelihood of committing any particular type of error among the aMCI group,  $F(2,24) = 1.4$ , ns, or the AD group,  $F(2,26) = 1.8$ , ns. In addition, the types of errors committed did not differ between the left and right nostrils among the AD group,  $F < 1$ ; although a tendency to commit fewer unrelated errors in the left relative to the right nostril was observed in the aMCI group (see Fig. 2), the interaction was not significant,  $F < 1$ .

#### The Relationship Between Olfactory Functioning and Neuropsychological Performance

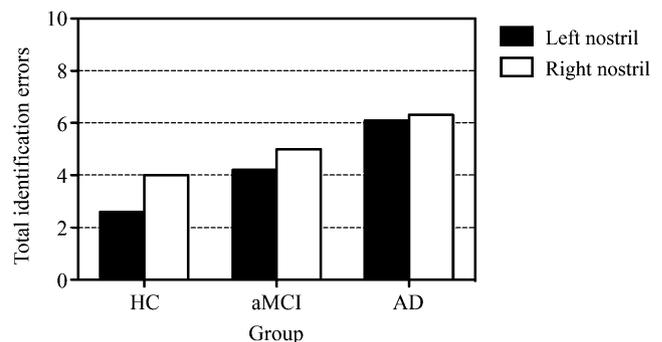
Table 2 reports the extent to which overall error rate on olfactory identification for the left and right nostrils correlated with global cognition as measured by the MMSE and cognitive domains as measured by the three composite scores—episodic memory, semantic memory, and non-memory. The table also shows in parentheses correlations between olfactory identification and these composite scores, after controlling for MMSE scores to account for global deterioration. Olfactory identification was significantly associated with MMSE scores, and this relationship was stronger on the right nostril. In addition, olfactory error scores showed the strongest relationship with episodic memory; moderate relationships were observed between error scores on the right nostril and the composite semantic memory and non-memory scores. When MMSE scores were statistically controlled, however, only the association between left-nostril error score and the composite episodic memory score remained significantly different from zero.

#### Olfactory Identification at the 1-Year Review

Approximately 12 months following the baseline examination (mean review lag = 12.78 months, range = 6–18 months), eight HC, 13 aMCI, and seven AD participants completed a review investigation. All the aMCI patients tested at baseline returned for retesting, and six of the 13 met NINCDS-ADRDA criteria for AD on review; group membership at review was held consistent with baseline assignment in the following treatment of data. A majority of participants who were not retested during this review (seven out of 10) were AD patients. AD patients who were tested again demonstrated higher MMSE scores at baseline ( $M = 25.8$ ,  $SD = 2.3$ ) than AD patients who were not tested again ( $M = 21.1$ ,  $SD = 2.3$ ),  $t(11) = 3.6$ ,  $p < 0.01$ .

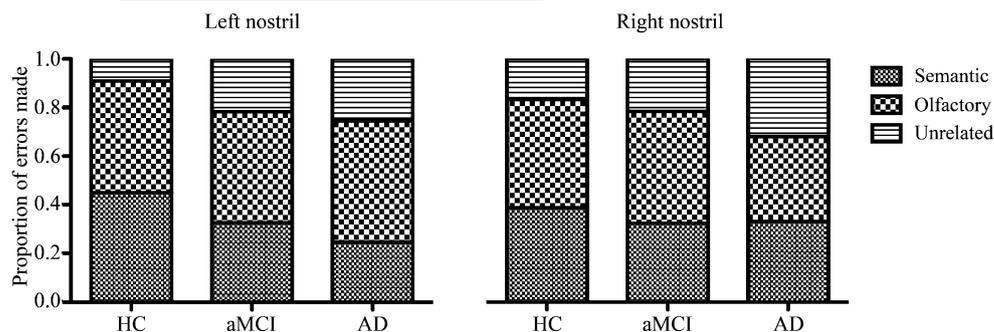
The number of olfactory identification errors during this review is shown in Fig. 3. A repeated-measures analysis with nostril side as the within-subjects variable and diagnostic group at baseline as the between-groups variable revealed that the groups varied on their olfactory identification ability. Planned contrasts indicated that the HC group ( $M = 3.3$ ,  $SD = 1.2$ ) committed significantly fewer errors than the AD group ( $M = 6.2$ ,  $SD = 2.7$ ),  $F(1,14) = 17.2$ ,  $p < 0.01$ ,  $\eta^2 = 55$ , and the difference between the HC and the aMCI group ( $M = 4.6$ ,  $SD = 2.1$ ) approached significance  $F(1,19) = 3.3$ ,  $p = 0.07$ ,  $\eta^2 = 14$ . Similarly, the aMCI group committed fewer errors than the AD group, and this difference approached significance  $F(1,19) = 3.8$ ,  $p = 0.06$ ,  $\eta^2 = 16$ . Across groups, there was no consistent nostril difference,  $F(1,27) = 2.2$ , ns, or interaction between nostril and group,  $F < 1$ .

The probabilities of the three groups committing the various types of error at the 1-year review are presented in Fig. 4. A repeated-measures ANOVA with nostril and type of error as within-subjects variables and group as the between-subjects variable revealed there were differences in the extent to which participants selected different types of errors,  $F(2,48) = 9.4$ ,  $p < 0.001$ ,  $\eta^2 = 28$ . Examination of simple contrasts revealed that all groups tended to select unrelated



**Fig. 3** Total errors in identification on the left and right nostrils in each group at the 1-year review

**Fig. 4** The distribution of error types among the three participant groups on the left and right nostrils at the 1-year review



distracters less often than other distracter types,  $F(1,24)=23.3$ ,  $p<001$ ,  $\eta^2=49$ .

Analyses at the group level revealed that the HC group committed fewer unrelated errors relative to the other types,  $F(1,7)=30.5$ ,  $p<01$ ,  $\eta^2=81$ ; there was no difference between the two related types of errors or an interaction between type of error and nostril, both  $F_s<1$ .

Similarly, the aMCI group committed few unrelated errors relative to the other types,  $F(1,12)=8.6$ ,  $p<01$ ,  $\eta^2=45$ , and there was no difference between the two related types of errors,  $F(1,12)=1.6$ , ns, or an interaction between type of error and nostril  $F<1$ . Finally, among the AD group, no difference was observed in the likelihood to commit any particular type of error,  $F(2,12)=1.6$ , ns, and no interaction was observed with the tested nostril,  $F<1$ .

#### Olfactory Performance of aMCI Participants Who Met and Those Who Did Meet Criteria for AD at the 1-Year Review

Table 3 displays baseline characteristics of aMCI patients who met AD criteria at the 1-year review, designated *converters*, and of the aMCI patients who did not meet these criteria at the review, designated *non-converters*. Converters were older than non-converters,  $F(1,11)=7.89$ ,  $p=01$ , and had lower baseline episodic memory composite scores,  $F(1,11)=14.1$ ,  $p<01$ . No differences were observed on other cognitive or demographic measures.

Figure 5 shows olfactory error scores, at baseline and at 1-year review, of aMCI patients who remained stable and aMCI patients who met AD criteria at the follow-up assessment. There were no significant changes in total number of identification errors for either subgroup over time nor any differences in overall olfactory identification ability for the left or right nostrils or in the likelihood of selecting different types of distracters between converters and non-converters, all  $F_s<1$ . At the review assessment, converters did not commit more errors overall on olfactory identification ( $M=5.0$ ,  $SD=2.0$ ) compared with non-converters ( $M=5.0$ ,  $SD=1.5$ ),  $F<1$ . However, converters did select unrelated distracters at chance levels (0.33) on both the left and right nostrils ( $M=0.31$ ,  $SD=0.19$  and  $M=$

$0.31$ ,  $SD=0.20$ , respectively), whereas non-converters showed significantly lower than chance levels of selecting an unrelated distracter on their left nostril ( $M=0.14$ ,  $SD=0.14$ ,  $d=1.8$ ,  $p<01$ ). Although this probability did not deviate from chance levels on the right nostril, the effect size was moderate ( $M=0.20$ ,  $SD=0.24$ ,  $d=0.54$ ).

At baseline, a significant difference was found between the mean error rate across nostrils of AD patients ( $M=6.2$ ,  $SD=1.1$ ) and that of converters ( $M=4.7$ ,  $SD=1.0$ ),  $t(11)=2.4$ ,  $p=03$ . However, at the 1-year review, there was no significant difference in the mean olfactory error score between converters ( $M=5.0$ ,  $SD=2.0$ ) and AD patients on review ( $M=6.2$ ,  $SD=1.7$ ),  $t(9)=0.9$ , ns.

## Discussion

Consistent with results demonstrated in numerous studies using a birhinal procedure, AD patients in this study demonstrated significant deficits in olfactory identification

**Table 3** Baseline characteristics and composite scores of aMCI converters and non-converters

	Converters ( $n=6$ )	Non-converters ( $n=7$ )
Age	79.3 (5.0) a	70.4 (6.1) b
Education	14.3 (5.8) a	15.3 (6.5) a
% Male	50 a	57.1 a
MMSE	24.8 (3.1) a	26.4 (1.6) a
Composite episodic memory <sup>a</sup>	-4.6 (0.9) a	-2.4 (1.0) b
Composite semantic memory <sup>b</sup>	-2.4 (2.1) a	-3.3 (3.6) a
Non-memory composite <sup>c</sup>	-1.7 (1.3) a	-0.7 (0.9) a

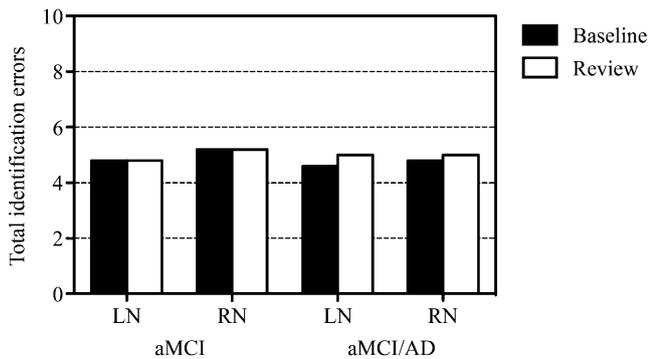
Figures in the same row that do not share the same letter are different at  $p<0.01$

AD Alzheimer's disease, aMCI amnesic mild cognitive impairment, HC healthy controls, MMSE mini-mental state examination

<sup>a</sup> Calculated as the average of the z score for CVLT-II Long Delay Free Recall and RCFT 30-min delayed recall

<sup>b</sup> Calculated as the average of the z scores for BNT and category fluency

<sup>c</sup> Calculated as the average of the z scores for RCFT copy, DS, DS-C, and letter fluency



**Fig. 5** Olfactory error scores across nostrils at baseline and at the 1-year follow-up among aMCI participants who remained stable and aMCI participants who converted

when compared with healthy elderly people. Patients with aMCI also displayed impaired olfactory identification on both nostrils when compared to the control group. The results of the study also indicated, albeit tentatively, that the AD and aMCI groups were as likely to select an unrelated distracter when committing an error as they were to select a related distracter. When committing errors, participants in the control group, on both nostrils, were very unlikely to select the unrelated distracter, and the errors committed were more predictable in their nature. aMCI participants who met criteria for AD at the time of review showed an increased tendency to select an unrelated distracter when committing errors compared with aMCI participants who did not meet criteria for AD.

#### Types of Errors Committed by the Groups

This research is the first study of early detection of AD that distinguishes types of errors in olfactory identification. Not only were AD patients impaired in their olfactory identification overall, but the pattern of errors displayed by this group was different from that observed among the control group. When committing errors, healthy elderly volunteers almost invariably tended to select a distracter that shared some attribute with the target (e.g., *cherry* instead of *strawberry*). In contrast, AD patients were as likely to select an unrelated distracter when identifying smells (e.g., choosing *motor oil* instead of *strawberry*) as they were to select a related distracter. This tendency might represent a preclinical feature of preclinical AD, as the same pattern was observed in the aMCI group.

Presumably, healthy elderly participants could readily utilize their semantic networks to differentiate the target odors from unrelated distracters. In contrast, the tendency to confuse the targets and the unrelated distracters in the AD and aMCI groups may reflect a degradation of these semantic associations between odors. One potential explanation for these findings is that, when only an olfactory cue

is available, activation disperses more extensively across the semantic network in AD patients compared to healthy controls; semantic categories that are only remotely related to each other are thus more likely to be activated and identification becomes confused.

Several contemporary theories suggest that patients with semantic decline gradually lose knowledge of the features that distinguish members of a semantic category and instead rely on prototypical presentation of objects to correctly identify or recognize a classification (Alathari et al. 2004; Rogers et al. 2004b). During the early stages of semantic loss, the ability of individuals to discriminate members of the same or similar semantic categories becomes impaired, whereas appreciation of the superordinate semantic category is preserved (Rogers et al. 2004b). Most research concerned with the nature of semantic breakdown focuses on the visual, auditory, and verbal modalities (e.g., Adlam et al. 2006; Garrard et al. 2005; Joubert et al. 2008). The current study extends the existing body of knowledge by proposing that the distinctions between broad semantic categories might be degraded when the input modality is olfactory. This proposition was demonstrated by the observation that, when performing on a multiple-choice olfactory identification task, AD patients show a tendency to select an unrelated distracter as often as they tend to select a related distracter. Persons with aMCI are generally found to perform relatively well on traditional visually mediated tests of semantic knowledge such as the Boston Naming Test. The observation that the aMCI group showed a tendency to select unrelated distracters as frequently as related distracters may indicate that the rate of progression in semantic breakdown is faster for stimuli presented through olfaction than for stimuli presented either verbally or pictorially. Indeed, we found no association between olfactory identification and a composite semantic memory task after controlling for the severity of cognitive impairment. Conceivably, early in the course of AD, the degradation of the semantic network is limited to the olfactory modality.

Of particular relevance here is one longitudinal study that conducted a qualitative analysis of error types committed by patients with moderate AD on a confrontation naming task (Barbarotto et al. 1998). As disease severity increased, semantically unrelated errors became more prominent than semantically related errors. These authors proposed a semantic gradient to the declining course of cognition in AD. During the milder stages, patients may commit more semantic errors, reflecting partial preservation of their semantic abilities. During the more severe stages, when semantic abilities are especially limited, unrelated errors become the dominant type of error. Other authors have found support for the proposal that a tendency to make unrelated errors in AD reflects deficits in semantic

knowledge (Moreaud et al. 2001). Most AD patients in our study were classified as mild. These findings, therefore, extend the proposed mechanism suggested by Barbarotto et al., implying that a tendency to select unrelated errors is present in olfaction even in the early stages of AD. Furthermore, consistent with this premise, by the time aMCI patients met criteria for AD at the review assessment, they showed an increased tendency to select unrelated distracters in the olfactory identification task.

Our findings conflict with the conclusions of Luzzi et al. (2007) that olfactory discrimination deficits underpin those observed in olfactory identification. Although these authors found differences between the scores obtained by the AD group and scores obtained by the SD and HC groups on olfactory discrimination, the authors did not provide evidence to indicate that olfactory identification does not differ between AD patients and the other participant groups after discrimination scores are controlled. Assessing the ability of individuals to discriminate between different odorants commonly involves the presentation of two odors consecutively, followed by asking the participant to determine whether the odors are the same or different. In the typical olfactory identification paradigm, however, participants are presented with one odorant only and a list of options from which to choose the target. Therefore, in considering the different response alternatives, respondents need to utilize their knowledge of different qualities of an odor before reaching their decision. Therefore, deficits in olfactory discrimination might not necessarily culminate in errors on multiple-choice olfactory identification. Indeed, evidence indicates that even when healthy elderly persons perform relatively poorly on an olfactory discrimination task, they may still demonstrate normal performance on multi-choice olfactory identification (Bahar-Fuchs et al. 2007). Therefore, the results obtained by Luzzi et al. (2007) do not seem to definitively support their conclusions.

#### Limitations of the Current Study and Directions for Future Research

A limitation of the current study was that the distracter classification in the olfactory identification task did not always conform to the desired hierarchy, precluding a more meaningful interpretation of the difference in committing the two related types of errors. The design of the distracters in the current olfactory task relied on raters' judgments of similarity of odor labels to the target items. Refining the semantic olfactory identification procedure will enable researchers in future studies to improve the analysis of error types committed at different stages of AD. In addition, olfactory discrimination was not assessed in the current study, and therefore could not be statistically controlled. To confirm our assertion—that semantic deficits underlie the

tendency of AD and aMCI patients to select unrelated distracters—future research should include concurrent measures of olfactory identification and discrimination. Nevertheless, our observations are unlikely to be ascribed to perceptual deficits, as MCI patients have been found to perform similar to control participants on olfactory discrimination (Djordjevic et al. 2008). Importantly, the current study failed to find clear support for a unirhinal assessment approach, as no nostril effects were found. Elsewhere, we have reported on the potential contribution of a unirhinal olfactory assessment approach to the differentiation between aMCI and AD patients (Bahar-Fuchs et al. 2007). More studies are required to explore whether a unirhinal olfactory assessment method offers a reliable advantage on the more traditional birhinal approach in the context of early and preclinical AD. Finally, the sample used in the current study was small, reducing the statistical power to detect effects. The trends observed in this study have nevertheless shown moderate effect sizes.

#### Conclusions

In conclusion, the pattern of errors that AD patients commit in olfactory identification tasks suggests a decline in olfactory-mediated access to semantic knowledge. Our study showed this semantic decline is present in aMCI patients, a subgroup of whom likely represent the prodromal stage of the AD. Assessing olfactory identification in early and preclinical AD may be a useful way to demonstrate early semantic decline. Future research will uncover whether this demonstration may, in turn, facilitate differentiation between olfactory identification deficits associated with AD and those associated with other conditions causing cognitive decline.

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